

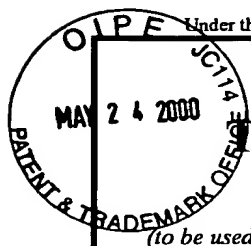
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PTO/SB/21 (6-99)

Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|                        |                    |
|------------------------|--------------------|
| Application Number     | 09/087,552         |
| Filing Date            | May 29, 1998       |
| First Named Inventor   | Jeffrey C. Hawkins |
| Group/Art Unit         | 2757               |
| Examiner Name          | H. Le              |
| Attorney Docket Number | 25216-704          |

Total Number of Pages in This Submission

11

### ENCLOSURES (check all that apply)

|  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment / Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Small Entity Statement<br><input type="checkbox"/> Request for Refund | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Additional Enclosure(s) (please identify below):<br><div></div> |
|--|---|--|

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

|                         |  |
|-------------------------|--|
| Firm or Individual name | Stephen Warhola, Reg. No. 43,237, WILSON SONSINI GOODRICH & ROSATI |
| Signature               |  |
| Date                    | May 22, 2000   |

### CERTIFICATE OF MAILING

|   |                 |      |              |
|---|-----------------|------|--------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: May 22, 2000 |                 |      |              |
| Typed or printed name   | Donna L. Hengst |      |              |
| Signature   |                 | Date | May 22, 2000 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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PTO/SB/17 (6/99)  
Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

## FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ ) 110.00

| Complete if Known      |                    |
|------------------------|--------------------|
| Application Number     | 09/087,552         |
| Filing Date            | May 29, 1998       |
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| Examiner Name          | Hien C. Le         |
| Group/Art Unit         | 2757               |
| Attorney Docket Number | 25216-704          |

### METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 23-2415 (Docket No. 25216-704)

Deposit Account Name Wilson Sonsini Goodrich & Rosati

- ☒ Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 101            | 690             | 201            | 345             | Utility filing fee     |          |
| 106            | 310             | 206            | 155             | Design filing fee      |          |
| 107            | 480             | 207            | 240             | Plant filing fee       |          |
| 108            | 690             | 208            | 345             | Reissue filing fee     |          |
| 114            | 150             | 214            | 75              | Provisional filing fee |          |
| SUBTOTAL (1)   |                 |                |                 |                        | (\$ )    |

#### 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 16                 | -20** =      | x              | =        |
| Independent Claims | 4            | -4** =         | x        |
| Multiple Dependent |              | =              |          |

\*\*or number previously paid, if greater; For Reissues, see below

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description   |
|----------------|-----------------|----------------|-----------------|---|
| 103            | 18              | 203            | 9               | Claims in excess of 20                                    |
| 102            | 78              | 202            | 39              | Independent claims in excess of 3                         |
| 104            | 260             | 204            | 130             | Multiple dependent claim, if not paid                     |
| 109            | 78              | 209            | 39              | **Reissue independent claims over original patent         |
| 110            | 18              | 210            | 9               | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ ) 0

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

| Large Fee Code      | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Fee Paid |
|---------------------|-----------------|----------------|-----------------|--|----------|
| 105                 | 130             | 205            | 65              | Surcharge - late filing fee or oath  |          |
| 127                 | 50              | 227            | 25              | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                 | 130             | 139            | 130             | Non-English specification  |          |
| 147                 | 2,520           | 147            | 2,520           | For filing a request for reexamination                                     |          |
| 112                 | 920             | 112            | 920             | Requesting publication of SIR prior to Examiner action                     |          |
| 113                 | 1,840           | 113            | 1,840           | Requesting publication of SIR after Examiner action                        |          |
| 115                 | 110             | 215            | 55              | Extension for replay within first month                                    | 110.00   |
| 116                 | 380             | 216            | 190             | Extension for reply within second month                                    |          |
| 117                 | 870             | 217            | 435             | Extension for reply within third month                                     |          |
| 118                 | 1,360           | 218            | 680             | Extension for reply within fourth month                                    |          |
| 128                 | 1,850           | 228            | 925             | Extension for reply within fifth month                                     |          |
| 119                 | 300             | 219            | 150             | Notice of Appeal   |          |
| 120                 | 300             | 220            | 150             | Filing a brief in support of an appeal                                     |          |
| 121                 | 260             | 221            | 130             | Request for oral hearing   |          |
| 138                 | 1,510           | 138            | 1,510           | Petition to institute a public use proceeding                              |          |
| 140                 | 110             | 240            | 55              | Petition to revive - unavoidable   |          |
| 141                 | 1,210           | 241            | 605             | Petition to revive - unintentional   |          |
| 142                 | 1,210           | 242            | 605             | Utility issue fee (or reissue)   |          |
| 143                 | 430             | 243            | 215             | Design issue fee   |          |
| 144                 | 580             | 244            | 290             | Plant issue fee  |          |
| 122                 | 130             | 122            | 130             | Petitions to the Commissioner  |          |
| 123                 | 50              | 123            | 50              | Petitions related to provisional applications                              |          |
| 126                 | 240             | 126            | 240             | Submission of Information Disclosure Stmt                                  |          |
| 581                 | 40              | 581            | 40              | Recording each patent assignment per property (times number of properties) |          |
| 146                 | 690             | 246            | 345             | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 149                 | 690             | 249            | 345             | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| Other fee (specify) |                 |                |                 | 25 Request for Corrected Filing Receipt                                    |          |
| Other fee (specify) |                 |                |                 | 55/110 Terminal Disclaimer   |          |

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 110.00

### SUBMITTED BY

|                   |                 |                                   |              |           |              |
|-------------------|-----------------|-----------------------------------|--------------|-----------|--------------|
| Name (Print/Type) | Stephen Warhola | Registration No. (Attorney/Agent) | 43,237       | Telephone | 650-493-9300 |
| Signature         |                 | Date                              | May 22, 2000 |           |              |

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